

Mr Rory Gallaher
Partner, Hong Kong, Deacons
Co-Head, Regulatory Committee, Alternative Investment
Management Association

University

LLB (Hons), School of Oriental & African Studies, London University, EnglandSolicitors' Final Examination, England

Admission

Solicitor, England & Wales, 1983 Solicitor, Hong Kong, 1990

Principal Practice

Financial Services

Experience

- More than 20 years' experience in financial services law, with particular emphasis on investment funds and securities issues.
- Advising a substantial number of international fund management groups on the establishment of a wide variety of investment products, licensing, regulatory and compliance issues.
- Advising on retirement scheme issues and the establishment of pooled retirement plans, master trusts and investment vehicles for retirement schemes.
- Advising institutional trustees.
- He is the chairman of the Hong Kong Law Society's Commercial Law Committee and a member of the Occupational Retirement Schemes Committee. He is a member of the Investment Companies, Funds and Trusts Committee of the International Bar Association and has spoken at a number of conferences on investment fund and securities issues.

Recognition

Personal

- Rory has been recognised twice as a leading lawyer in the area of Investment Funds by the Asia Pacific Legal 500 (2008/2009 and 2009/2010 editions).
- In the same publication (2005/2006, 2006/2007, 2007/2008 editions), he had been acknowledged three times as a leading lawyer in the area of Financial Services/Regulatory.

- He has been acknowledged as a leading lawyer in the area of Private Funds in the Who's Who Legal The International Who's Who of Business Lawyers (2007 and 2008 editions).
- He has also been recognised as a leader in the field of Financial Services: Non-contentious Regulatory and Investment Funds in Hong Kong by the Chambers Asia (2009 edition) and in the field of Fund Management (including Contentious Regulation) by the same publication (2007 and 2008 editions).
- Highly recommended in the area of Investment Funds by the PLC Which Lawyers? (2008 and 2009 editions).

Firm

- Deacons has been recognised twice as a 1st-tier law firm in the area of Investment Funds by Asia Pacific Legal 500 (2008/2009 and 2009/2010 editions).
- In the same publication (2000 2009 editions), the firm has also been acknowledged as a top law firm in the area of Financial Services/Regulatory.
- Deacons has been ranked as a top law firm in the area of Investment Funds in Hong Kong and Asia as well as Financial Services: Non-contentious Regulatory in Hong Kong by the Chambers Asia (2009 edition).
- In the same publication (2007 and 2008 editions), Deacons was ranked as a leading law firm in the areas of Fund Management (including Contentious Regulation) in Hong Kong and Investment Funds (Hedge Funds, Private Equity & Retail) in Asia.
- The firm has also been highly recommended in the area of Investment Funds by the PLC Which Lawyers? (2008 and 2009 editions).

Memberships/Appointments

- Member, The Law Society of Hong Kong
- Member, The Law Society of England
- Member, HKSE/SFC Committee of the Hong Kong Investment Funds Association
- Committee Member, Alternative Investment Management Association
- Member, Investment Trusts and Funds Committee of the International Bar Association
- Committee Officer, Investment Companies and Mutual Funds Committee of the International Bar Association
- Member, Working Group to review examination questions for the licensing exam for securities and futures intermediaries of the Hong Kong Securities Institute

198

專題工作坊 V - 在亞洲的歐洲金融機構:清除監管迷陣



郭禮禧 的近律師行香港合夥人;另類投資管理協會監管委員會聯席主席

大學教育

英國倫敦大學東方與非洲研究學院榮譽法學士 英國律師期末考試

執業資格

英格蘭及威爾斯律師:1983

香港律師:1990

主要業務

金融服務

工作經驗

- 20年以上的金融服務法律經驗,特別側重於投資基金及證券問題。
- 曾為多個國際基金管理集團提供顧問服務,範疇包括不同投資產品的建立、特 許經營、監管及合規問題等。
- 就退休計劃以及就退休計劃而成立的集資退休方案、集體信託及投資工具等提供諮詢。
- 為機構受托人提供諮詢。
- 郭禮禧先生是香港法律學會「商事法律委員會」主席,亦是「職業退休計劃委員會」成員。他也是國際律師協會的「投資公司、基金及信託委員會」成員,曾在多個研討會就投資基金及證券等問題發表意見。

認許

個人

兩度獲《亞太律界 500 強》雜誌 (Asia Pacific Legal 500)推許為投資基金方面的重要律師(2008/2009 及 2009/2010 年度)。

- ◆ 在同一刊物,三度(2005/2006、2006/2007及2007/2008)獲公認為「金融服務/ 監管 |方面的重要律師。
- 他曾獲《律界名人錄─國際商業律師名人錄》(Who's Who Legal The International Who's Who of Business Lawyers)推許為「私人基金」方面的重要律師。
- 2009年,他亦曾獲《錢伯斯亞洲》(Chambers Asia)推許為香港「金融服務:無 爭議管理及投資基金」方面的重要人物。2007及2008年,該期刊亦推許他為基 金管理《包括爭議監管》方面的重要人物。
- ♣ 為《PLC 律師名錄》在「投資基金 |方面高度推崇(2008 及 2009 年度)。

事務所

- 的近律師行曾兩度獲《亞太律界500強》雜誌(2008/2009及2009/2010年度)推許 為「投資基金 |方面的一流法律事務所。
- 2000至2009年,的近亦獲同一刊物推舉為「金融服務/監管」方面的最佳法律事 務所之一。
- 2009年,的近曾獲《錢伯斯亞洲》評為香港及亞洲在「投資基金」及香港「金融服務:無爭議監管|最佳法律事務所之一。
- 2007及2008年,該期刊亦推許的近為香港「基金管理(包括爭議監管)」及亞洲「投資基金(對沖基金、私募及零售)」的重要法律事務所。
- 2008 及 2009 年,該事務所亦為《PLC 律師名錄》在「投資基金 |方面高度推崇。

會員資格 / 任命

- 香港法律學會會員
- 英國法律學會會員
- 香港投資基金協會「港交所/證監會委員會」委員
- 另類投資管理協會委員
- 國際律師協會「投資信託及基金委員會」委員
- 國際律師協會「投資公司及互惠基金委員會」主任
- 香港證券專業學會證券及期貨中介機構執照考試試題評審工作小組成員。